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From:	Kevin J. Zilka	

Docket No.: NVIDP033A/P000873

**App. No.: 10/657,957**

Total Number of Pages Being Transmitted, Including Cover Sheet: 27

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April 18, 2005

Practitioner's Docket No. NVIDP033A/P000873

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James M. Van Dyke et al.

Application No.: 10/657,957

Group No.: 2186

Filed: 09/08/2003

Examiner: Choi, Woo H.

For: SYSTEM AND METHOD FOR A HIGH BANDWIDTH-LOW LATENCY MEMORY  
CONTROLLER

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**P.O. Box 1450**  
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**TRANSMITTAL OF APPEAL BRIEF**  
**(PATENT APPLICATION--37 C.F.R. § 1.192)**

1. Transmitted herewith is the APPEAL BRIEF in this application, with respect to the Notice of Appeal filed on February 18, 2005.
2. STATUS OF APPLICANT

This application is on behalf of other than a small entity.

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**CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\***

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Erica L. Farlow

*(Type or print name of person certifying)*

Date: 4/18/2005

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**3. FEE FOR FILING APPEAL BRIEF**

Pursuant to 37 C.F.R. § 41.20(b)(2), the fee for filing the Appeal Brief is:

other than a small entity \$500.00

**Appeal Brief fee due \$500.00**

#### 4. EXTENSION OF TERM

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

5. TOTAL FEE DUE

The total fee due is:

Appeal brief fee	\$500.00
Extension fee (if any)	\$0.00

**TOTAL FEE DUE \$500.00**

## 6. FEE PAYMENT

Authorization is hereby made to charge the amount of \$500.00 to Deposit Account No. 50-1351 (Order No. NVIDP033A).

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Transmittal of Appeal Brief—page 2 of 2



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PATENT

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Application No.: 10/657,957

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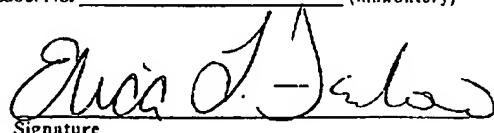
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Transmittal of Appeal Brief–page 1 of 2

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Pursuant to 37 C.F.R. § 41.20(b)(2), the fee for filing the Appeal Brief is:

other than a small entity \$500.00

**Appeal Brief fee due \$500.00**

**4. EXTENSION OF TERM**

The proceedings herein are for a patent application and the provisions of 37 C.F.R. § 1.136 apply.

Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

**5. TOTAL FEE DUE**

The total fee due is:

Appeal brief fee	\$500.00
Extension fee (if any)	\$0.00

**TOTAL FEE DUE \$500.00**

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If any additional extension and/or fee is required, and if any additional fee for claims is required, charge Deposit Account No. 50-1351 (Order No. NVIDP033A).

Reg. No.: 41,429  
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Signature of Practitioner  
Kevin J. Zilka  
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San Jose, CA 95172-1120  
USA

Transmittal of Appeal Brief—page 2 of 2

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Practitioner's Docket No. NVIDP033A/P000873

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: James M. Van Dyke et al.

Application No.: 10/657,957

Group No.: 2186

Filed: September 8, 2003

Examiner: Choi, Woo H.

For: SYSTEM AND METHOD FOR A HIGH BANDWIDTH-LOW LATENCY  
MEMORY CONTROLLER

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Board of Patent Appeals and Interferences

APPELLANT'S BRIEF (37 C.F.R. § 41.37)

This brief is in furtherance of the Notice of Appeal, filed in this case on February 18, 2005.

The fees required under § 1.17, and any required petition for extension of time for filing this brief and fees therefor, are dealt with in the accompanying TRANSMITTAL OF APPEAL BRIEF.

This brief contains these items under the following headings, and in the order set forth below (37 C.F.R. § 41.37(c)(i)):

- I      REAL PARTY IN INTEREST
- II     RELATED APPEALS AND INTERFERENCES
- III    STATUS OF CLAIMS
- IV    STATUS OF AMENDMENTS
- V    SUMMARY OF CLAIMED SUBJECT MATTER
- VI    ISSUES
- VII   ARGUMENTS
- VIII APPENDIX OF CLAIMS INVOLVED IN THE APPEAL

-2-

IX APPENDIX LISTING ANY EVIDENCE RELIED ON BY THE  
APPELLANT IN THE APPEAL

The final page of this brief bears the practitioner's signature.

-3-

**I REAL PARTY IN INTEREST (37 C.F.R. § 41.37(c)(1)(i))**

The real party in interest in this appeal is NVIDIA Corporation.

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**II RELATED APPEALS AND INTERFERENCES (37 C.F.R. § 41.37(c))****(1)(ii))**

With respect to other prior or pending appeals, interferences, or related judicial proceedings that will directly affect, or be directly affected by, or have a bearing on the Board's decision in the pending appeal, there are no other such appeals, interferences, or related judicial proceedings.

Since no such proceedings exist, no Related Proceedings Appendix is appended hereto.

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### **III STATUS OF CLAIMS (37 C.F.R. § 41.37(c) (1)(iii))**

#### **A. TOTAL NUMBER OF CLAIMS IN APPLICATION**

Claims in the application are: 1-27

#### **B. STATUS OF ALL THE CLAIMS IN APPLICATION**

1. Claims withdrawn from consideration: none
2. Claims pending: 1-27
3. Claims allowed: 24
4. Claims rejected: 1-23 and 25-27

#### **C. CLAIMS ON APPEAL**

The claims on appeal are: 1-23 and 25-27

See additional status information in the Appendix of Claims.

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**IV STATUS OF AMENDMENTS (37 C.F.R. § 41.37(c)(1)(iv))**

As to the status of any amendment filed subsequent to final rejection, such amendment was not entered.

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**V SUMMARY OF CLAIMED SUBJECT MATTER (37 C.F.R. § 41.37(c)(1)(v))**

With respect to a summary of Claim 1 et al., a memory controller system is provided. As set forth in Figure 1B and the accompanying description of pages 8 and 9 of the originally-filed specification, a plurality of memory controller subsystems are coupled to a plurality of computer components. Further, each memory controller subsystem includes at least one read or write queue with an input coupled to one of the computer components and an output coupled to memory for queuing read or write commands to be sent to the memory, and at least one activate queue with an input coupled to one of the computer components and an output coupled to the memory for queuing activate commands to be sent to the memory. Still yet, as set forth in the first paragraph of page 17 of the originally filed specification, the activate commands are capable of being restored to a row and a bank associated with the read or write commands at a head of the associated read or write queue.

With respect to a summary of Claim 25 et al., a memory controller system is provided including at least three memory controller subsystems coupled to a plurality of computer components. As set forth in Figure 1B and the accompanying description of pages 8 and 9 of the originally-filed specification, a first one of the memory controller subsystems is coupled only to a graphics unit computer component, a second one of the memory controller subsystems is coupled only to a central processing computer component, and a third one of the memory controller subsystems is coupled only to a display refresh module computer component.

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**VI ISSUES (37 C.F.R. § 41.37(c)(1)(vi))**

Following, under each issue listed, is a concise statement setting forth the corresponding ground of rejection.

**Issue # 1:** The Examiner has rejected Claims 1-9, 11-13, 15-23, and 26-27 under 35 U.S.C. 103(a) as being unpatentable over Novak et al. (US Patent No. 6,295,586, hereinafter “Novak”) in view of Kessler et al. (US Patent No. 6,622,225, hereinafter “Kessler”).

**Issue # 2:** The Examiner has rejected Claim 14 under 35 U.S.C. 103(a) as being unpatentable over Novak et al. (US Patent No. 6,295,586, hereinafter “Novak”) in view of Kessler et al. (US Patent No. 6,622,225, hereinafter “Kessler”), and further in view of Dell et al. (US Patent Application Publication No.: 2001/0000822, hereafter “Dell”).

**Issue # 3:** The Examiner has rejected Claim 10 under 35 U.S.C. 103(a) as being unpatentable over Novak et al. (US Patent No. 6,295,586, hereinafter “Novak”) in view of Kessler et al. (US Patent No. 6,622,225, hereinafter “Kessler”), and further in view of Margulis (US Patent No. 6,057,862, hereinafter “Margulis”).

**Issue # 4:** The Examiner has rejected Claim 25 under 35 U.S.C. 102(e) as being anticipated by Margulis (US Patent No. 6,057,862, hereinafter “Margulis”).

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## VII ARGUMENTS (37 C.F.R. § 41.37(c)(1)(viii))

The claims of the groups noted below do not stand or fall together. In the present section, appellant explains why the claims of each group are believed to be separately patentable.

### Issue #1:

The Examiner has rejected Claims 1-9, 11-13, 15-23, and 26-27 under 35 U.S.C. 103(a) as being unpatentable over Novak et al. (US Patent No. 6,295,586, hereinafter "Novak") in view of Kessler et al. (US Patent No. 6,622,225, hereinafter "Kessler").

#### *Group #1: Claims 1-9, 11-13, 15-23, and 27*

Specifically, the Examiner continues to rely on the following excerpt from Kessler to make a prior art showing of appellant's claimed "wherein the memory controller is capable of restoring the activate commands to a row and a bank associated with the read or write commands at a head of a read or write queue" (see all independent claims, except Claims 24-25).

"A computer system includes a memory controller interfacing the processor to a memory system. The memory controller supports a memory system with a plurality of memory devices, with multiple memory banks in each memory device. The memory controller supports simultaneous memory accesses to different memory banks. Memory bank conflicts are avoided by examining each transaction before it is loaded in the memory transaction queue. On a first clock cycle, the new pending memory request is transferred from a pending request queue to a memory mapper. On the subsequent clock cycle, the memory mapper formats the pending memory request into separate signals identifying the DEVICE, BANK, ROW and COLUMN to be accessed by the pending transaction. In the next clock cycle, the DEVICE

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and BANK signals are compared with every entry in the memory transaction queue to determine if a bank conflict exists. If so, the new memory request is rejected and recycled to the pending request queue." (see Abstract)

In response to appellant's previous arguments, the Examiner now notes appellant's use of "capable of being" language in the context of the above claim language. Specifically, the Examiner stated that such language does not claim an act or a structure that actually restores. Appellant respectfully disagrees.

The Examiner continues by arguing that Novak "has the capability to actually issue activate commands to a row and a bank associated with the read or write commands and the same structure is capable of supporting restoration of an activate command." Moreover, the Examiner continues by arguing that "[a]n activate command to a row and a bank is brought back into existence along with other appropriate primitive commands (i.e. precharge, read/write) when a recycled memory request gets decoded again in an attempt to access the memory again."

Appellant respectfully disagrees with these assertions. First, the Examiner has stated that "[n]or does Novak disclose that the activate commands are capable of being restored to a row and a bank associated with the read or write command at a head of the associated read or write queue," and the Examiner states that he is relying on Kessler to meet such limitation. Thus, it appears that the Examiner is making conflicting statements, namely admitting that Novak does not disclose the claim limitations at issue, and then asserting that they are met by Novak.

Further, the Examiner's assertions are baseless, as no excerpts have been cited. Still yet, the Examiner's statements are simply incorrect. For example, the Examiner states that Novak "is capable of supporting restoration of an activate command." After carefully reviewing the entire Novak reference, this is simply incorrect.

Still yet, the Examiner continues to support his position by stating that appellant's

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claim limitations are met “when a recycled memory request gets decoded again in an attempt to access the memory again.” Again, after carefully reviewing the entire Novak reference, there is absolutely no mention of “recycling” any memory request. Further, even if there were such a suggestion, it would be improper to leap to the conclusion that Novak even suggests appellant’s claimed “restoring the activate commands to a row and a bank associated with the read or write commands at a head of a read or write queue.”

Since no specific prior art showing has been made, it appears that the Examiner is relying on an inherency argument regarding the above emphasized claim limitations. In view of the arguments made hereinabove, any such inherency argument has been adequately rebutted, and a notice of allowance or a specific prior art showing of such claim features, in combination with the remaining claim elements is respectfully requested. (See MPEP 2112)

To establish a *prima facie* case of obviousness, three basic criteria must be met. First, there must be some suggestion or motivation, either in the references themselves or in the knowledge generally available to one of ordinary skill in the art, to modify the reference or to combine reference teachings. Second, there must be a reasonable expectation of success. Finally, the prior art reference (or references when combined) must teach or suggest all the claim limitations. The teaching or suggestion to make the claimed combination and the reasonable expectation of success must both be found in the prior art and not based on appellant’s disclosure. *In re Vaeck*, 947 F.2d 488, 20 USPQ2d 1438 (Fed.Cir.1991).

Appellant respectfully maintains that the third element of the *prima facie* case of obviousness has not been met, since the prior art references, when combined, fail to at least suggest all of appellant’s claim limitations.

*Group #2: Claim 26*

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With respect to the current grouping, the Examiner relies on the following excerpt from Novak to meet appellant's claimed "wherein the restoring utilizes a field in the read or write queue that contains an activate write address" (see Claim 26).

RWQ Fields	
Field	Description
V	Valid RWQ entry. Possibly cleared by a RQJ command.
Tred_Cnt[2:0]	Tred is transferred to Config_Tred whenever an entry with AQ field set enters the read queue (RWQ[0]). This field is initialized with 1's corresponding to the number of cycles the transaction must wait. Every cycle that AQ[3:0] is cleared this field is right shifted and the entry cannot be placed onto the SDRAM bus until Tred_Cnt[3:0] = 0. Ensures that read/write operations that require an activate issue after the activate completes.
PQDep	Pre-vents XQ_U entry from issuing until corresponding PQ entry issues.
Aqdep	Activate Queue dependency. This field is set by the SMC AQ signal for PMc and PMb_NKA requests and cleared whenever an Activate transaction is returned by the AQ Accept signal, i.e. accepted by the SPM.
PMc	When an entry with this field enters the bottom of the RWQ it signals the PQ that it has now passed and then this field must be cleared. This field used to synchronize PQ and RWQ. Also ensures of read/writes going to RWQ's in the middle of extra cycle of latency.
Jre	When an entry with this field enters the bottom of the RWQ it signals the PQ that it has now passed and then this entry gets shifted out on the next cycle when it only serves as a placeholder in the RWQ and does not represent a valid Read or Write.
BL[2:0]	Block length 000=1QW; 010=2QW; 010=3QW; 011=4QW; 100=5QW; 101=6QW; 110=7QW; 111=8QW Set by NXC[req_BL[2:0]]
CS[7:0]	Determines CS that transaction requires Set by NXC[req_CS[7:0]]
Col[14:0]	Determines Rask and Col that transaction requires Set by NXC[req_Col[14:0]]
R	Read/Write 1=Read Set by NXC[req_R]
ID[7:0]	See JGL's description for more details Set by NXC[req_ID]
ECC	ECC = SMC_ECC_NxReq_PartialW & Config_ECC. For read it's field tells the MDP to store SDRAM data into ECC merge buffer. For write it's field tells the MDP to merge incoming write data with the ECC merge buffer according to incoming write data byte enables and then store this merged data on the SDRAM data bus.

Fig. 7

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First, Novak does not suggest any restoring of an activate command, and thus inherently can not meet the present claim limitations. Further, after carefully reviewing the above figure, it is clear that there is simply no restoring that specifically utilizes a field in the read or write queue that contains an activate write address.

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Again, appellant respectfully maintains that the third element of the *prima facie* case of obviousness has not been met, since the prior art references, when combined, fail to at least suggest all of appellant's claim limitations.

Issue #2

The Examiner has rejected Claim 14 under 35 U.S.C. 103(a) as being unpatentable over Novak et al. (US Patent No. 6,295,586, hereinafter "Novak") in view of Kessler et al. (US Patent No. 6,622,225, hereinafter "Kessler"), and further in view of Dell et al. (US Patent Application Publication No.: 2001/0000822, hereafter "Dell").

*Group #1: Claim 14*

Appellant respectfully disagrees with this rejection, as it would be unobvious to combine Dell with the remaining references, as suggested by the Examiner.

Issue #3

The Examiner has rejected Claim 10 under 35 U.S.C. 103(a) as being unpatentable over Novak et al. (US Patent No. 6,295,586, hereinafter "Novak") in view of Kessler et al. (US Patent No. 6,622,225, hereinafter "Kessler"), and further in view of Margulis (US Patent No. 6,057,862, hereinafter "Margulis").

*Group #1: Claim 10*

Appellant respectfully disagrees with this rejection, as Margulis simply does not meet appellant's "wherein the computer components include a central processing unit, a display refresh module, and a graphics unit," in the context claimed. Yet again, appellant respectfully maintains that the third element of the *prima facie* case of obviousness has not been met, since the prior art references, when combined, fail to at least suggest all of appellant's claim limitations.

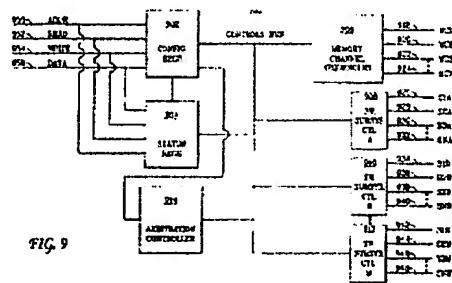
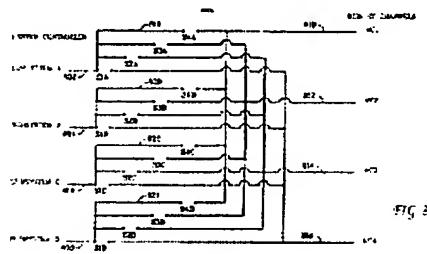
-14-

Issue #4

The Examiner has rejected Claim 25 under 35 U.S.C. 102(e) as being anticipated by Margulis (US Patent No. 6,057,862, hereinafter "Margulis").

*Group #1: Claim 25*

Specifically, the Examiner has modified the excerpts he relies upon to reject such claim. In particular, the Examiner now relies on the following excerpts from Margulis to meet appellant's claimed "at least three memory controller subsystems".



Specifically, the Examiner points to items 802-808 and 908-912 to meet appellant's claimed "at least three memory controller subsystems." First, items 802-808 are mere "subsystems" (i.e. display, CPU, etc.) per the specification of Margulis. Further, items 908-912 in no way meet appellant's claimed "wherein a first one of the memory controller subsystems is coupled only to a graphics unit computer component, a second one of the memory controller subsystems is coupled only to a central processing computer component, and a third one of the memory controller subsystems is coupled only to a display refresh module computer component" (emphasis added).

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It is clear from both Fig. 8 and Fig. 9 that any components therein which may allegedly be considered "at least three memory controller subsystems" are coupled to all of the computer components, and thus do not meet a first one of the memory controller subsystems coupled only to a graphics unit computer component, a second one of the memory controller subsystems coupled only to a central processing computer component, and a third one of the memory controller subsystems coupled only to a display refresh module computer component, as claimed.

Only appellant teaches and claims that each memory subsystem is coupled only to one computer component.

In view of the remarks set forth hereinabove, all of the independent claims are deemed allowable, along with any claims depending therefrom.

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**VIII APPENDIX OF CLAIMS (37 C.F.R. § 41.37(c)(1)(viii))**

The text of the claims involved in the appeal (along with associated status information) is set forth below:

1. (Original) A memory controller system, comprising:
  - a plurality of memory controller subsystems coupled to a plurality of computer components, each memory controller subsystem including:
    - at least one read or write queue with an input coupled to one of the computer components and an output coupled to memory for queuing read or write commands to be sent to the memory, and
    - at least one activate queue with an input coupled to one of the computer components and an output coupled to the memory for queuing activate commands to be sent to the memory;
    - wherein the activate commands are capable of being restored to a row and a bank associated with the read or write commands at a head of the associated read or write queue.
2. (Original) The memory controller system as recited in claim 1, wherein the computer components are selected from the group consisting of a central processing unit, a display refresh module, and a graphics unit.
3. (Original) The memory controller system as recited in claim 1, wherein the memory includes dynamic random access memory (DRAM).
4. (Original) The memory controller system as recited in claim 1, wherein the memory includes synchronous dynamic random access memory (SDRAM).
5. (Original) The memory controller system as recited in claim 1, wherein each memory controller subsystem further includes a multiplexer having inputs coupled

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to the outputs of the read or write queue, and activate queue, the multiplexer further including an output coupled to the memory.

6. (Original) The memory controller system as recited in claim 1, wherein the read or write commands, and the activate commands of each memory controller subsystem are loaded independent of the state of the memory.
7. (Original) The memory controller system as recited in claim 1, wherein the commands are loaded in at least one of the queues of each memory controller subsystem based on rows and banks of references in at least one of the queues.
8. (Original) The memory controller system as recited in claim 1, wherein the loading of the commands in at least one of the queues of each memory controller subsystem is delayed based on rows and banks of references in at least one of the queues.
9. (Original) The memory controller system as recited in claim 1, wherein each read or write queue is permitted to queue commands for only a single row in each bank.
10. (Original) The memory controller system as recited in claim 1, wherein the computer components include a central processing unit, a display refresh module, and a graphics unit.
11. (Original) The memory controller system as recited in claim 1, wherein the memory controller system arbitrarily selects to unload commands from queues associated with any of the computer components.
12. (Original) A method for controlling memory utilizing a memory controller, comprising:  
receiving a plurality of read or write commands, and activate commands from a plurality of queues capable of being loaded from a plurality of computer components;

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arbitrating the delivery of the read or write commands, and activate commands from the queues to the memory; and

delivering the arbitrated read or write commands, and activate commands to the memory;

wherein the memory controller is capable of restoring the activate commands to a row and a bank associated with the read or write commands at a head of a read or write queue.

13. (Original) The method as recited in claim 12, wherein the computer components are selected from the group consisting of a central processing unit, a display refresh module, and a graphics unit.
14. (Original) The method as recited in claim 12, wherein the memory includes dual data rate (DDR) memory.
15. (Original) The method as recited in claim 12, wherein the memory includes dynamic random access memory (DRAM).
16. (Original) The method as recited in claim 12, wherein the memory includes synchronous dynamic random access memory (SDRAM).
17. (Original) The method as recited in claim 12, wherein the delivery of the read or write commands, and activate commands from the queues to the memory is arbitrated utilizing a timer.
18. (Original) The method as recited in claim 17, wherein the timer arbitrates the delivery of the commands to ensure that sequential commands are delivered sequentially.

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19. (Original) The method as recited in claim 12, wherein the delivery of the read or write commands, and activate commands from the queues to the memory is arbitrated based on a predetermined order.
20. (Original) The method as recited in claim 12, wherein the delivery of at least one of the commands is arbitrated based on a bank and a row at a head of the queues.
21. (Original) The method as recited in claim 12, wherein the delivery of at least one of the commands is arbitrated based on the read or write commands.
22. (Original) The method as recited in claim 19, wherein the predetermined order prioritizes the computer components.
23. (Original) The method as recited in claim 19, wherein the predetermined order prioritizes the read or write commands, the activate commands.
24. (Previously Amended) A method, comprising:
  - providing at least three parallel-coupled memory controller subsystems each capable of:
    - queueing read or write commands to be sent to the memory in at least one read or write queue with an input coupled to a computer component and an output coupled to memory, and
    - queueing activate commands to be sent to the memory in an activate queue with an input coupled to the computer component and an output coupled to the memory,
  - wherein a first one of the memory controller subsystems is coupled only to a graphics unit computer component, a second one of the memory controller subsystems is coupled only to a central processing computer component, and a third one of the memory controller subsystems is coupled only to a display refresh module computer component.

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25. (Previously Amended) A memory controller system, comprising:
  - at least three memory controller subsystems coupled to a plurality of computer components;
    - wherein a first one of the memory controller subsystems is coupled only to a graphics unit computer component, a second one of the memory controller subsystems is coupled only to a central processing computer component, and a third one of the memory controller subsystems is coupled only to a display refresh module computer component.
26. (Previously Presented) The memory controller system as recited in claim 1, wherein the restoring utilizes a field in the read or write queue that contains an activate write address.
27. (Previously Presented) The memory controller system as recited in claim 26, wherein the activate write address is indicated by a write pointer when the activate queue is written.

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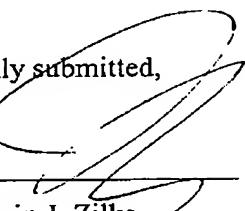
**IX APPENDIX LISTING ANY EVIDENCE RELIED ON BY THE  
APPELLANT IN THE APPEAL (37 C.F.R. § 41.37(c)(1)(ix))**

There is no such evidence.

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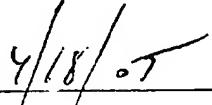
In the event a telephone conversation would expedite the prosecution of this application, the Examiner may reach the undersigned at (408) 971-2573. For payment of any additional fees due in connection with the filing of this paper, the Commissioner is authorized to charge such fees to Deposit Account No. 50-1351 (Order No. NVIDP033A).

Respectfully submitted,

By: 

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Reg. No. 41,429

Date: 

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